

Perturbatively stable observables
in heavy flavor production
as probes of the nucleon spin and flavor content

Nikolay Ivanov

*Veksler and Baldin Laboratory of High Energy Physics,
Yerevan Physics Institute*

**Novel proposals for heavy quark physics at ep -, pp - and AA - colliders
(COMPASS, EIC, LHeC, LHC and NICA)**

Dubna, April 2, 2018

Outline:

- Perturbatively (and parameterically) stable observables:
 - Azimuthal asymmetry in photoproduction;
 - Calan-Gross ratio $R = F_L / F_T$, $\cos \phi$ and $\cos 2\phi$ asymmetries in electroproduction

- Proposed applications:
 - Test of pQCD;
 - Determination of the charm content of the proton;
 - Search for the linearly polarized gluons in unpolarized proton

- Outlook for NICA: Azimuthal correlations in pp and AA

References

1. Perturbative stability :

- N.Ya.Ivanov, A.Capella, A.B.Kaidalov, Nucl. Phys. **B** 586 (2000), 382
- N.Ya.Ivanov, Nucl. Phys. **B** 615 (2001), 266
- N.Ya.Ivanov, Nucl. Phys. **B** 666 (2003), 88
- L.N.Ananikyan and N.Ya.Ivanov, Phys. Rev. **D** 75 (2007), 014010
- N.Ya.Ivanov and B.A. Kniehl, Eur. Phys. J. **C** 59 (2009), 647

2. Heavy-quark densities in the proton:

- L.N.Ananikyan and N.Ya.Ivanov, Nucl. Phys. **B** 762 (2007), 256
- N.Ya.Ivanov, Nucl. Phys. **B** 814 (2009), 142

3. Test of pQCD grounds:

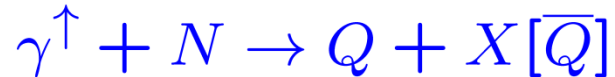
- N.Ya.Ivanov, P.E.Bosted, K.Griffioen, Nucl. Phys. **B** 650 (2003), 271
- A.V.Efremov, N.Ya.Ivanov, O.V.Teryaev, Phys.Lett. **B** 772 (2017), 283

4. Linearly polarized gluons in unpolarized proton:

- A.V.Efremov, N.Ya.Ivanov, O.V.Teryaev, Phys.Lett. **B** 777 (2018), 435
- A.V.Efremov, N.Ya.Ivanov, O.V.Teryaev, Phys.Lett. **B** 780 (2018), 303

Perturbative stability in charm photoproduction

We propose to test the pQCD applicability to heavy flavor production with the help of azimuthal $\cos 2\varphi$ asymmetry in charm photoproduction



Corresponding cross section is:

$$\frac{d\sigma_{\gamma h}}{d\varphi}(S, \varphi) = \frac{\sigma_{\gamma h}^{\text{unp}}(S)}{2\pi} (1 + A(S) \mathcal{P}_\gamma \cos 2\varphi)$$

where \mathcal{P}_γ is the degree of linear polarization of the photon,

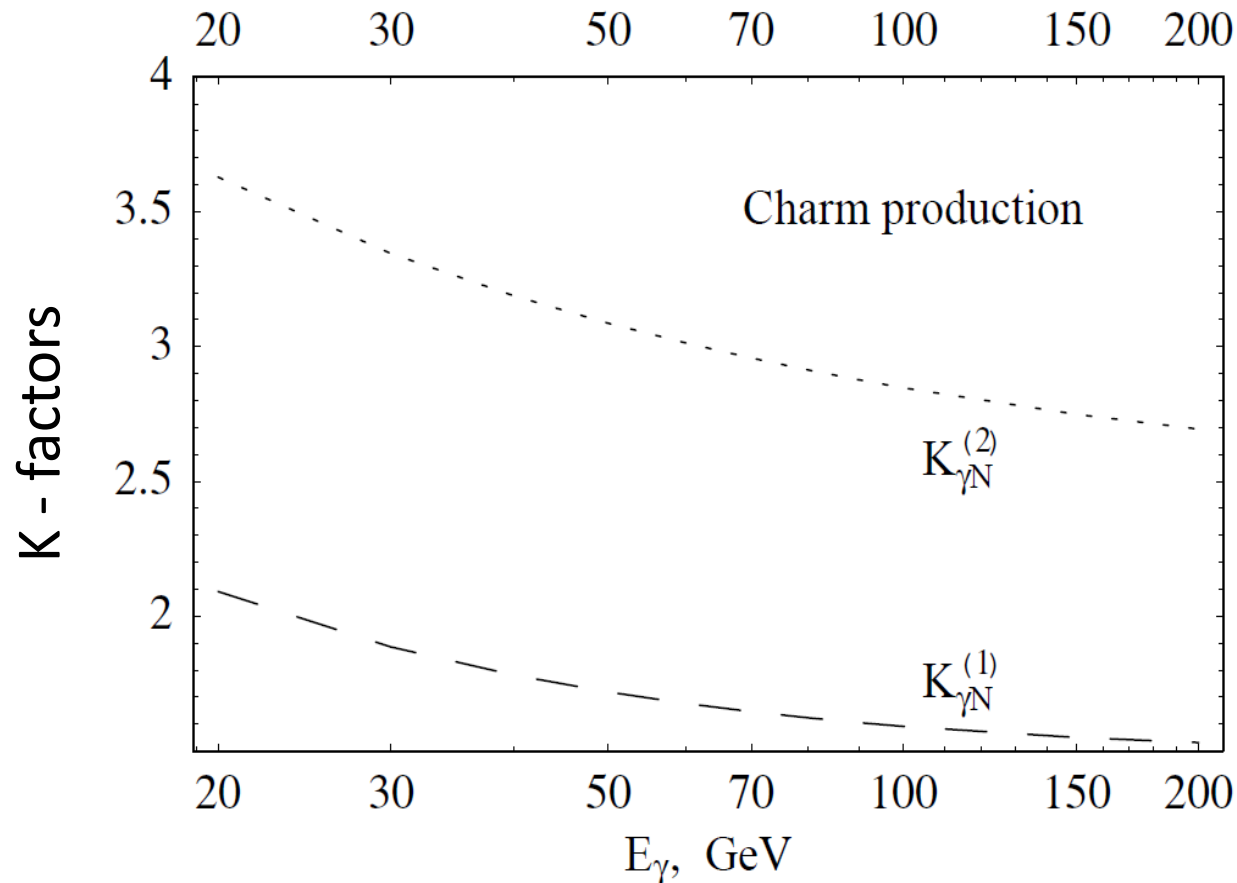
\sqrt{S} is the centre of mass energy of the reaction,

and φ is the angle between the photon polarization and quark \perp momentum

We observe the following remarkable properties:

- The azimuthal asymmetry is large: it is predicted to be about 20% for both charm and bottom;
- Contrary to the production cross sections, the $\cos 2\phi$ asymmetry in azimuthal distributions of heavy quark is practically insensitive to soft-gluon radiation;
- pQCD predictions for $A(S)$ are insensitive (to within few percent) to uncertainties in the QCD input parameters: $m, \mu_R, \mu_F, \Lambda_{QCD}$ and PDFs;
- The nonperturbative contributions are also small. The following mechanisms was considered:
 - Gluon transverse motion in the target;
 - Heavy quark fragmentation;
 - The bound state effects due to Fermi motion of the c-quark inside the D-meson.

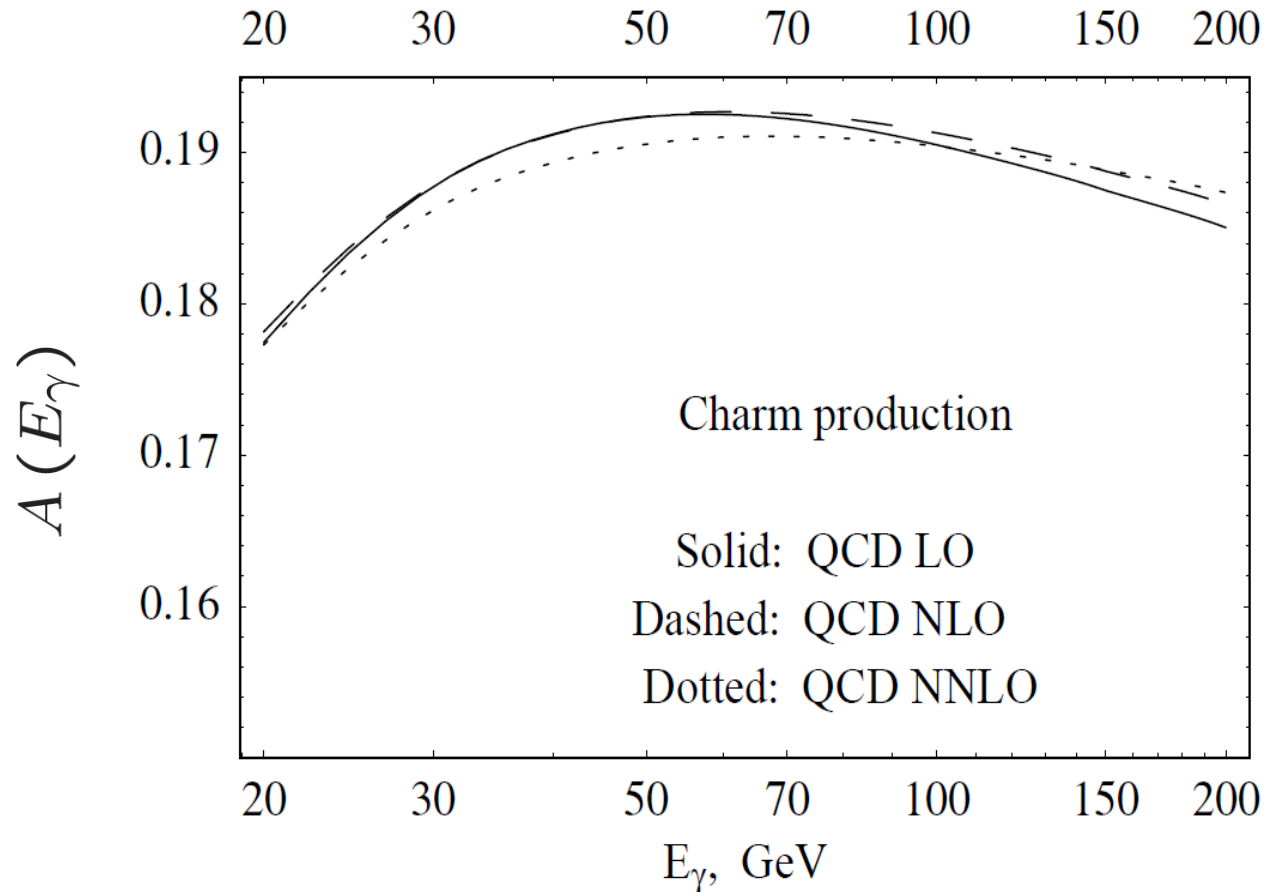
Perturbative **instability** of the cross section



$$K_{\gamma h}^{(1)}(S) = \sigma_{\gamma h}^{\text{NLO}}(S) / \sigma_{\gamma h}^{\text{LO}}(S)$$

$$K_{\gamma h}^{(2)}(S) = \sigma_{\gamma h}^{\text{NNLO}}(S) / \sigma_{\gamma h}^{\text{NLO}}(S)$$

Perturbative **stability** of the asymmetry

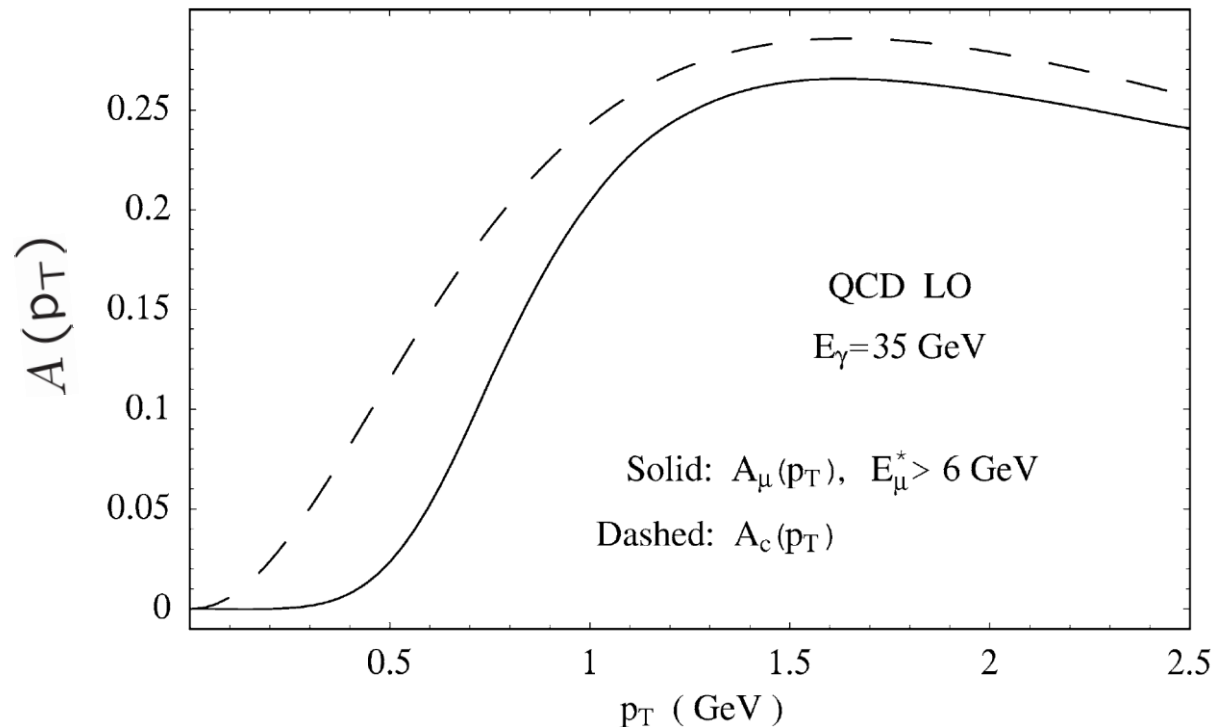
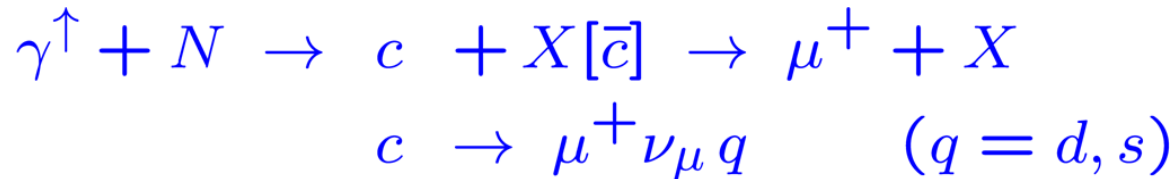


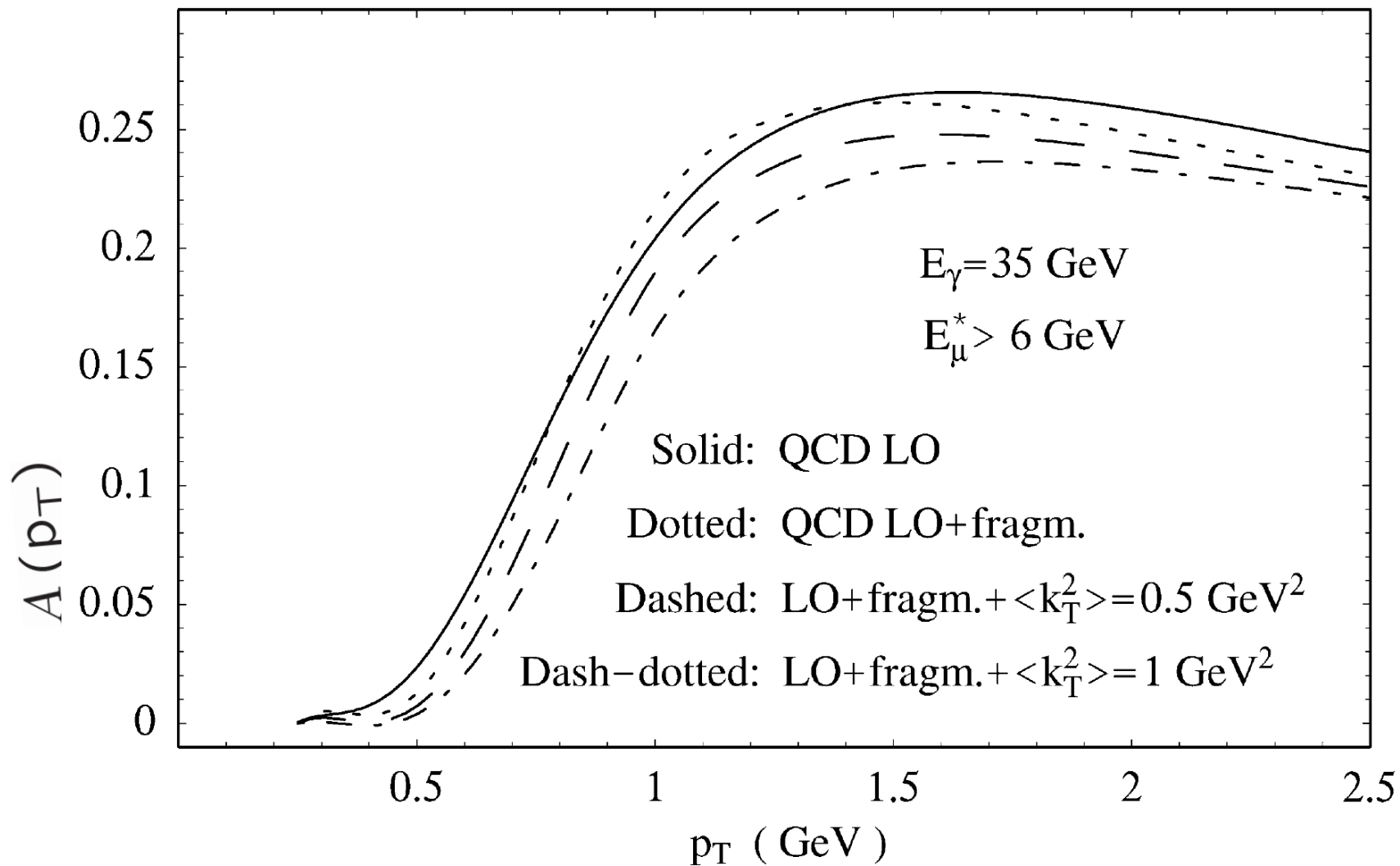
$$\frac{d\sigma_{\gamma h}}{d\varphi}(S, \varphi) = \frac{\sigma_{\gamma h}^{\text{unp}}(S)}{2\pi} (1 + A(S) \mathcal{P}_\gamma \cos 2\varphi)$$

$$A(S) = \frac{1}{\mathcal{P}_\gamma} \frac{d\sigma_{\gamma h}(S, \varphi = 0) - d\sigma_{\gamma h}(S, \varphi = \pi/2)}{d\sigma_{\gamma h}(S, \varphi = 0) + d\sigma_{\gamma h}(S, \varphi = \pi/2)}$$

Azimuthal asymmetry in charm photoproduction at SLAC

Azimuthal asymmetry in charm photoproduction could be measured at SLAC in E160/E161 experiments using the inclusive spectra of secondary (decay) leptons: [Ivanov, Bosted, Griffioen, Rock, NP B 650 (2003) 271]





Perturbative stability in charm electroproduction

Definitions and Cross Sections

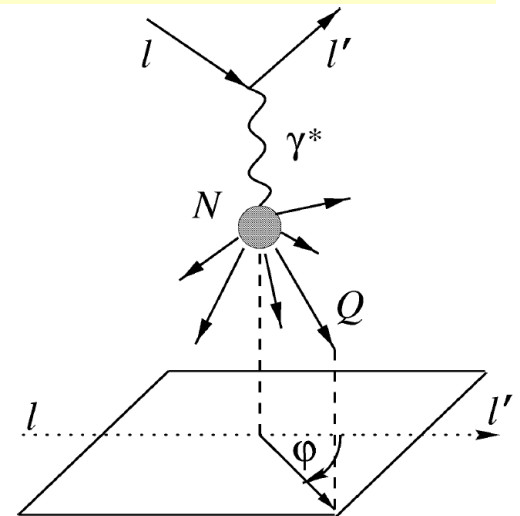
We consider the Callan-Gross ratio $R = F_L / F_T$ and azimuthal $\cos 2\varphi$ asymmetry, $A = 2xF_A / F_2$, in heavy-quark leptonproduction:

$$l(l) + N(p) \rightarrow l(l - q) + Q(p_Q) + X[\bar{Q}](p_X)$$

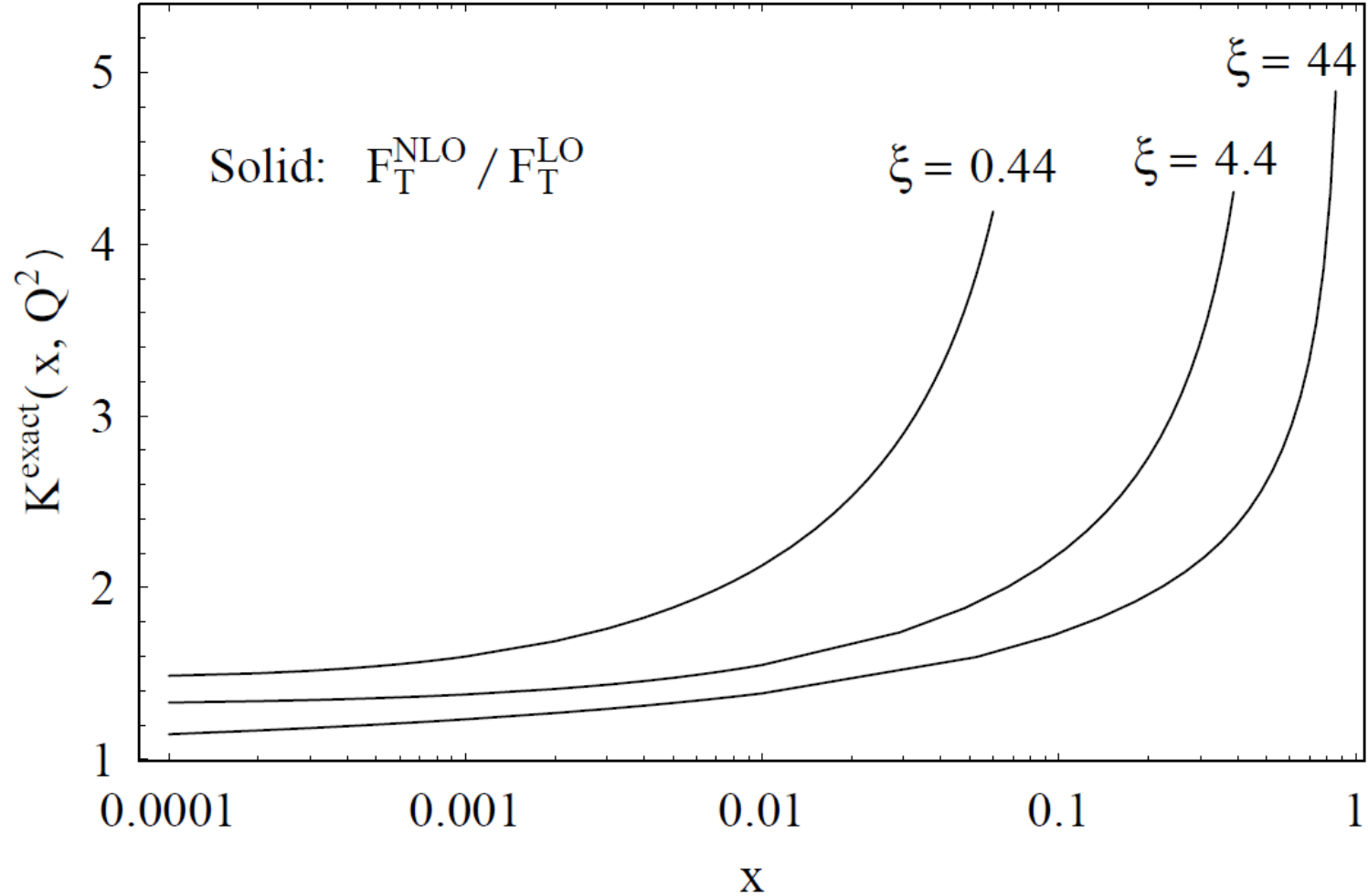
Corresponding cross section is:

$$\frac{d^3\sigma_{lN}}{dx dQ^2 d\varphi} = \frac{\alpha_{em}^2}{xQ^4} \left\{ \left[1 + (1 - y)^2 \right] F_2(x, Q^2) - 2xy^2 F_L(x, Q^2) \right. \\ \left. + 4x(1 - y) F_A(x, Q^2) \cos 2\varphi + 4x(2 - y) \sqrt{2(1 - y)} F_I(x, Q^2) \cos \varphi \right\}$$

where $F_2(x, Q^2) = 2x(F_T + F_L)$ and x, y, Q^2 are usual DIS observables



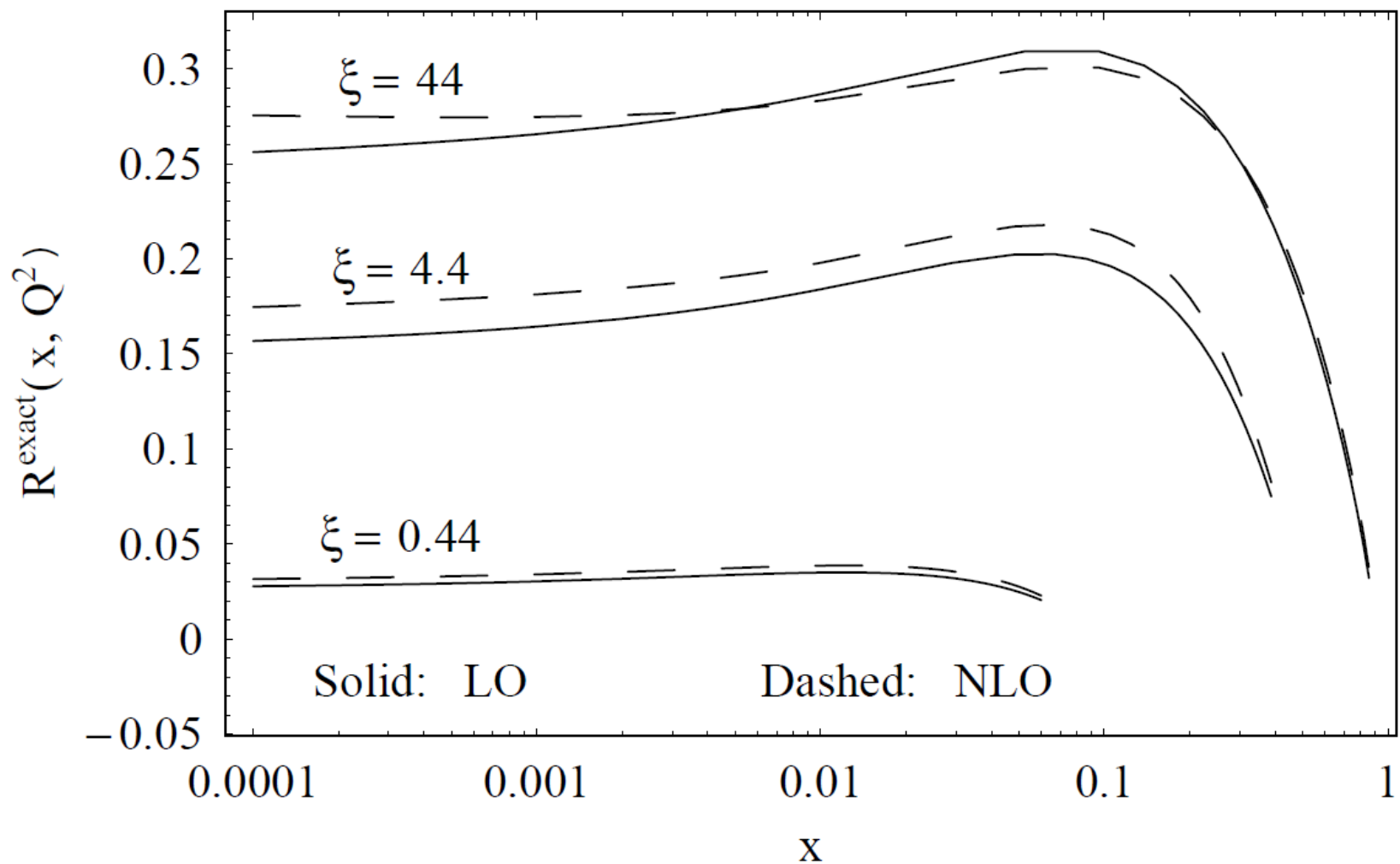
Perturbative **int**ability of the cross section



$$\xi = \frac{Q^2}{m^2}$$

$$K(x, Q^2) = \frac{F_T^{\text{NLO}}}{F_T^{\text{LO}}}$$

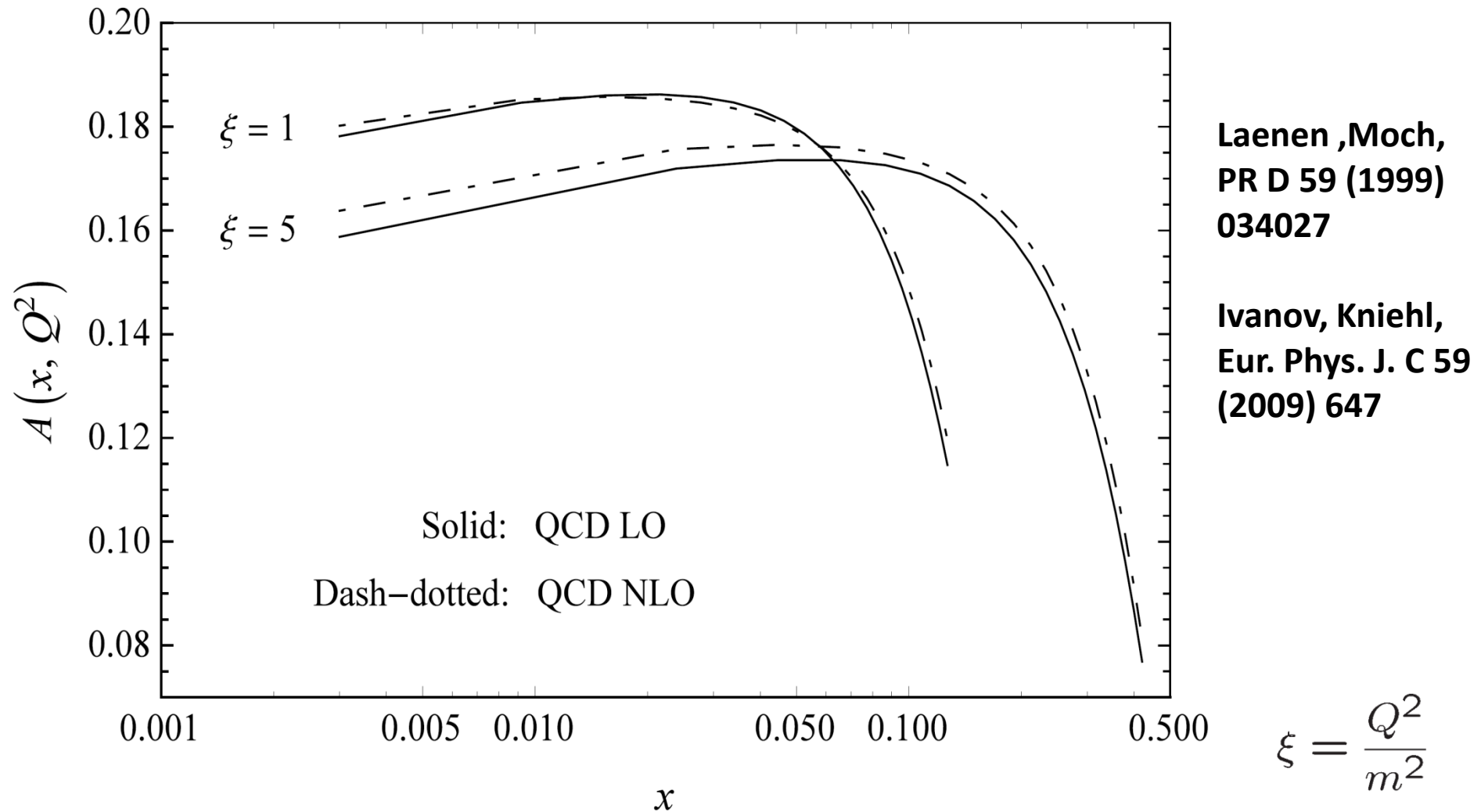
Perturbative stability of $R = F_L / F_T$



$$\xi = \frac{Q^2}{m^2}$$

$$R(x, Q^2) = \frac{F_L}{F_T}$$

Perturbative stability of $A = 2xF_A / F_2$



Laenen, Moch,
PR D 59 (1999)
034027

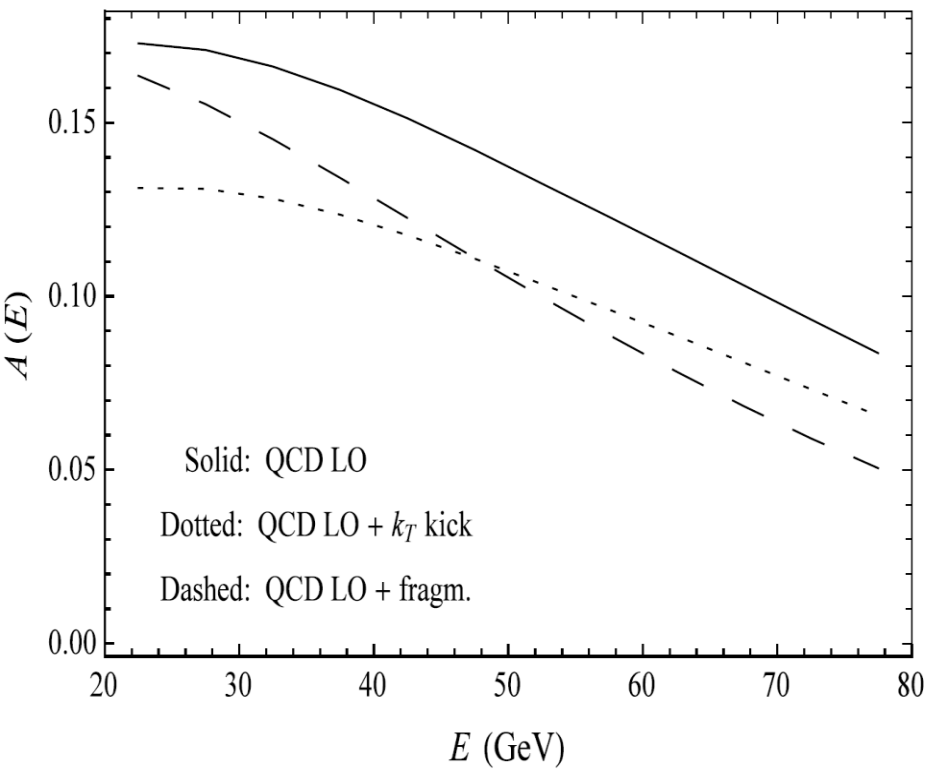
Ivanov, Kniehl,
Eur. Phys. J. C 59
(2009) 647

➤ The soft-gluon NLO NLL corrections are given

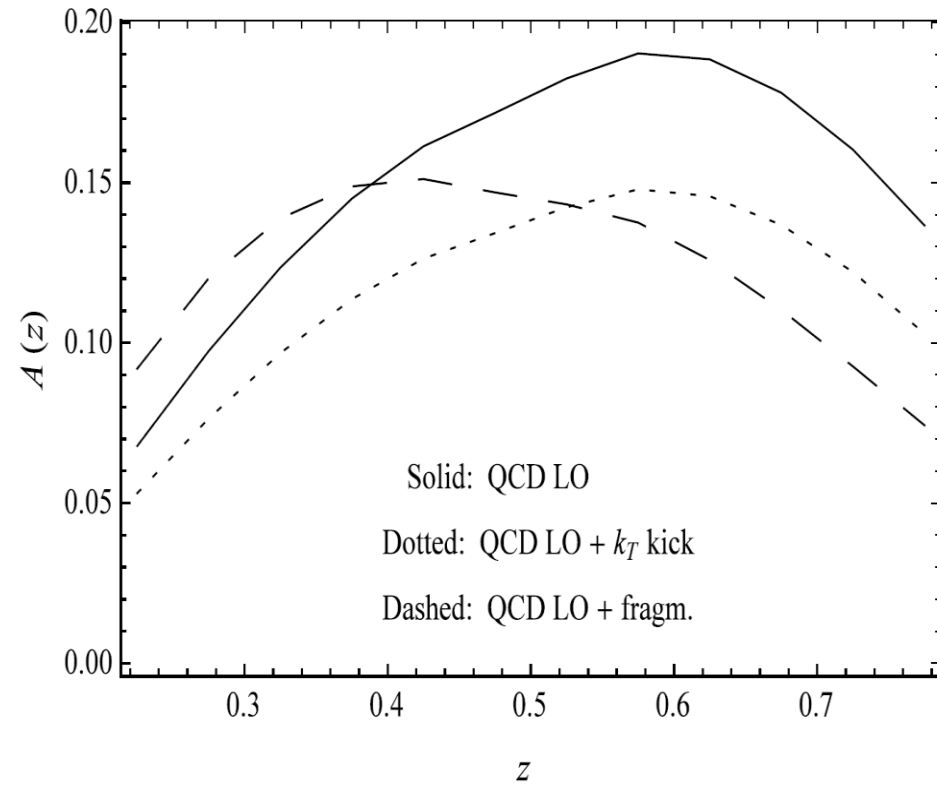
$$A(x, Q^2) = 2x \frac{F_A}{F_2}$$

cos2 ϕ asymmetry in charm electroproduction at COMPASS

cos2 ϕ asymmetry in charm electroproduction can be measured at COMPASS : Efremov, Ivanov, Teryaev, Phys.Lett. B 772 (2017), 283



$$\nu = E_l - E'_l$$

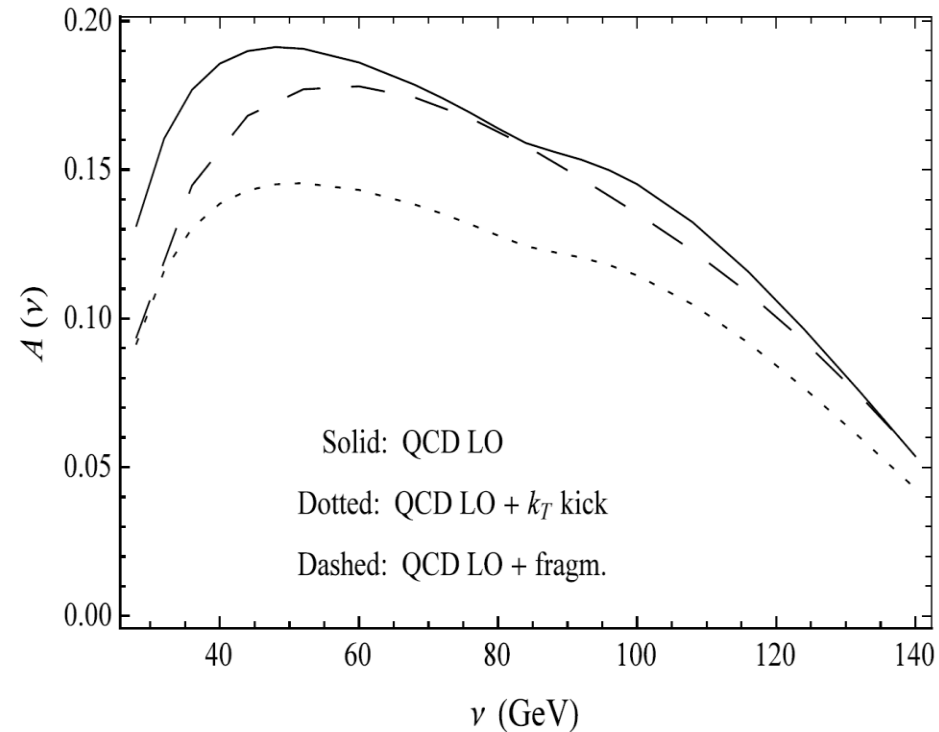
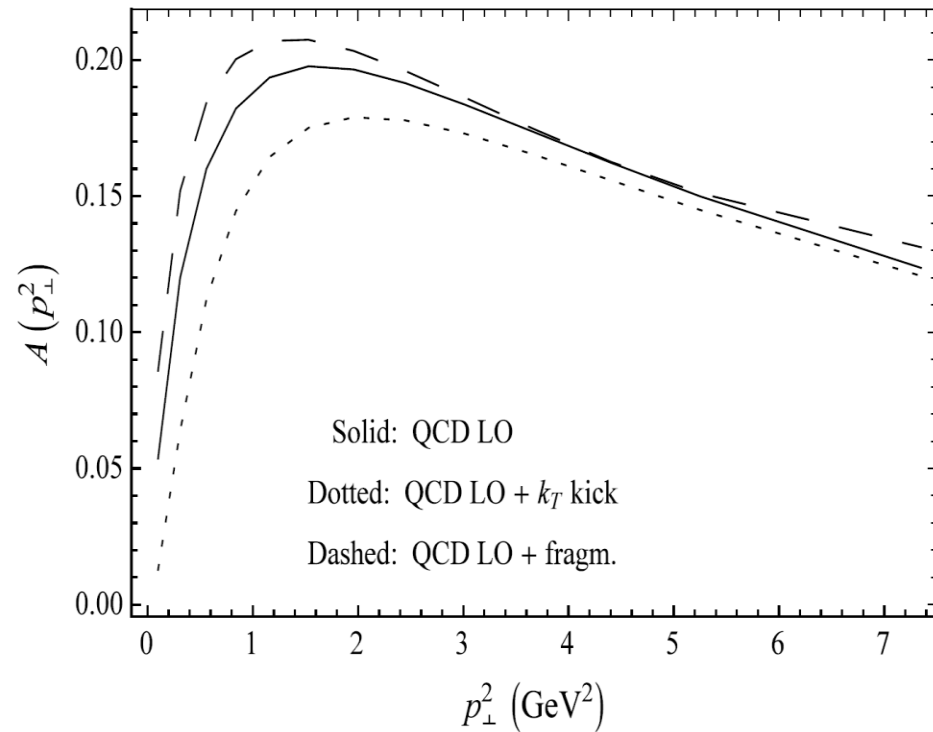


$$z = \frac{E}{\nu}$$

cos2 ϕ asymmetry in charm electroproduction at COMPASS

COMPASS kinematics:

$$0.003 < Q^2 < 10 \text{ GeV}^2, \quad 3 \cdot 10^{-5} < x < 0.1, \quad 20 < E < 80 \text{ GeV}$$



$$\nu = E_l - E_l'$$

Application I: Charm density in the proton

The *perturbative charm* was introduced about 20 years ago in

- J.C.Collins, Phys. Rev. **D** 58 (1998) , 094002
- M.A.G.Aivazis, J.C.Collins, F.I.Olness, and W.-K.Tung, Phys. Rev. **D** 50 (1994) , 3102

The perturbative charm contribution

□ is defined in the **VFNS** :

➤ **FFNS** : $p \rightarrow (u, d, s, g)$

➤ **VFNS** : $p \rightarrow (u, d, s, g) + (c, b, t)$

□ originates from $g \rightarrow c\bar{c}$ process,

□ has perturbative nature and $c(x, Q^2)$ obeys usual DGLAP evolution

Our approach is based on following observations:

- The ratios $R = F_L / F_T$ and $A = 2xF_A / F_2$ in heavy-quark leptonproduction are perturbatively stable within the FFNS.
- The quantities F_L / F_T and $2xF_A / F_2$ are sensitive to resummation of the mass logarithms of the type $\alpha_s \ln(Q^2 / m^2)$ within the VFNS.

These facts together imply that (future) high- Q^2 data on the ratios $R = F_L / F_T$ and $A = 2xF_A / F_2$ will make it possible to probe the heavy-quark densities in the nucleon, and thus to compare the convergence of perturbative series within the FFNS and VFNS.

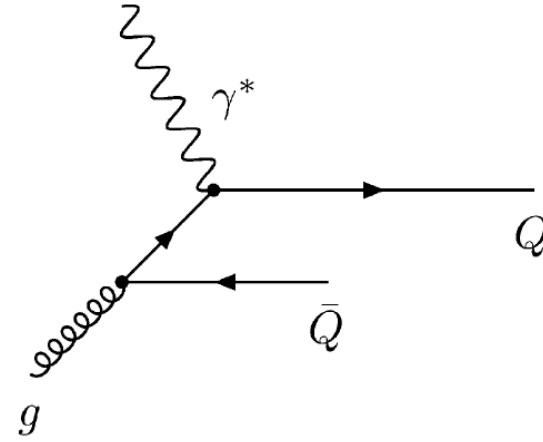
Remember that, within the VFNS, the heavy-quark content of the proton is due to resummation of the mass logarithms of the type $\alpha_s \ln(Q^2 / m^2)$ and, for this reason, closely related to behavior of asymptotic perturbative series for high Q^2 .

The leading mechanism is the photon-gluon fusion

$$\gamma^*(q) + g(k_g) \rightarrow Q(p_Q) + \bar{Q}(p_{\bar{Q}})$$

Leveille, Weiler, PRD 24 (1981) 1789

Watson, Z. Phys. C 12 (1982) 123

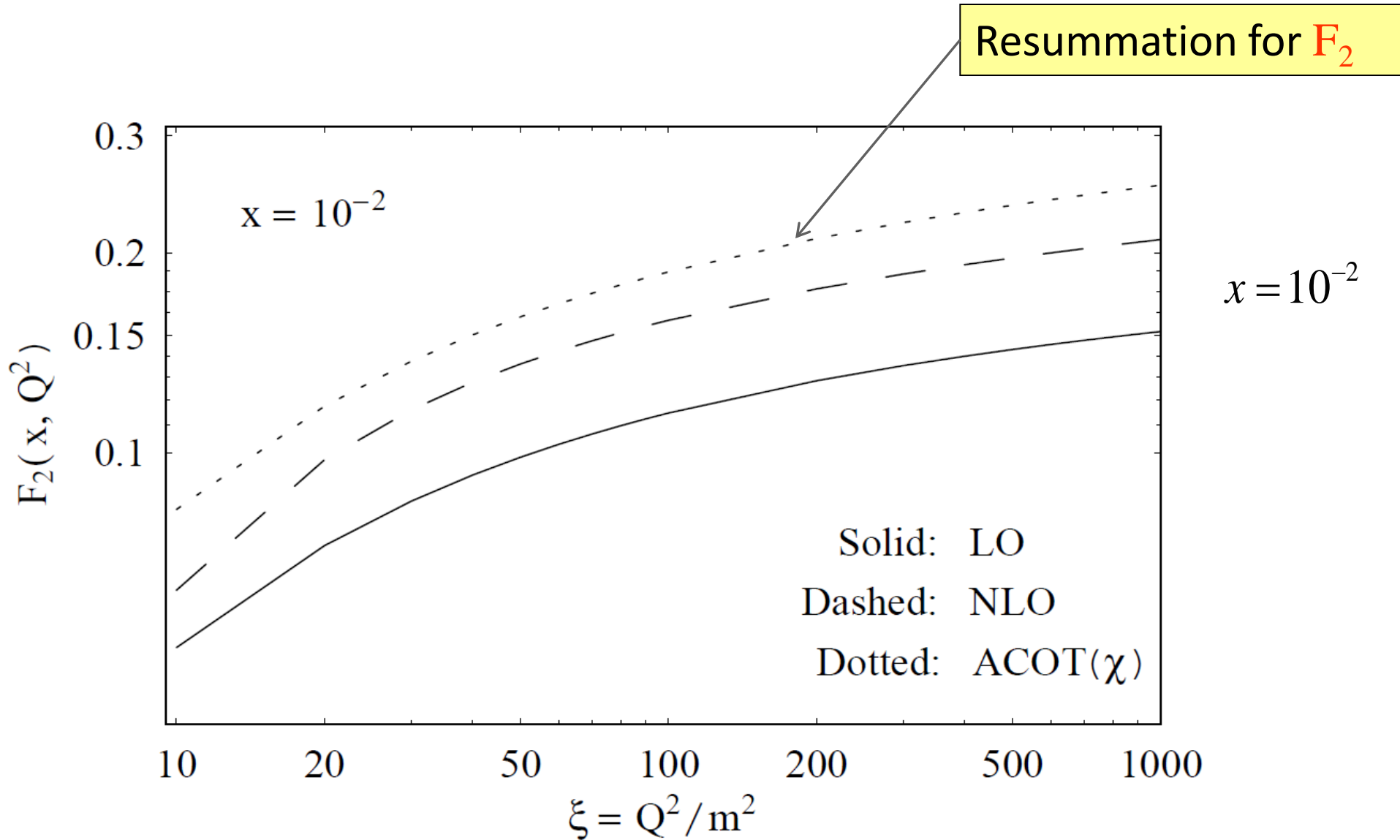


$$\begin{aligned} \hat{\sigma}_{2,g}^{(0)}(z, \lambda) &= \frac{\alpha_s}{2\pi} \hat{\sigma}_B(z) \left\{ \left[(1-z)^2 + z^2 + 4\lambda z(1-3z) - 8\lambda^2 z^2 \right] \ln \frac{1+\beta_z}{1-\beta_z} \right. \\ &\quad \left. - [1 + 4z(1-z)(\lambda-2)] \beta_z \right\}, \\ \hat{\sigma}_{L,g}^{(0)}(z, \lambda) &= \frac{2\alpha_s}{\pi} \hat{\sigma}_B(z) z \left\{ -2\lambda z \ln \frac{1+\beta_z}{1-\beta_z} + (1-z) \beta_z \right\}, \\ \hat{\sigma}_{A,g}^{(0)}(z, \lambda) &= \frac{\alpha_s}{\pi} \hat{\sigma}_B(z) z \left\{ 2\lambda [1 - 2z(1+\lambda)] \ln \frac{1+\beta_z}{1-\beta_z} + (1-2\lambda)(1-z) \beta_z \right\}, \\ \hat{\sigma}_{I,g}^{(0)}(z, \lambda) &= 0 \end{aligned}$$

$$z = \frac{Q^2}{2q \cdot k_g}, \quad \lambda = \frac{m^2}{Q^2}, \quad \beta_z = \sqrt{1 - \frac{4\lambda z}{1-z}}$$

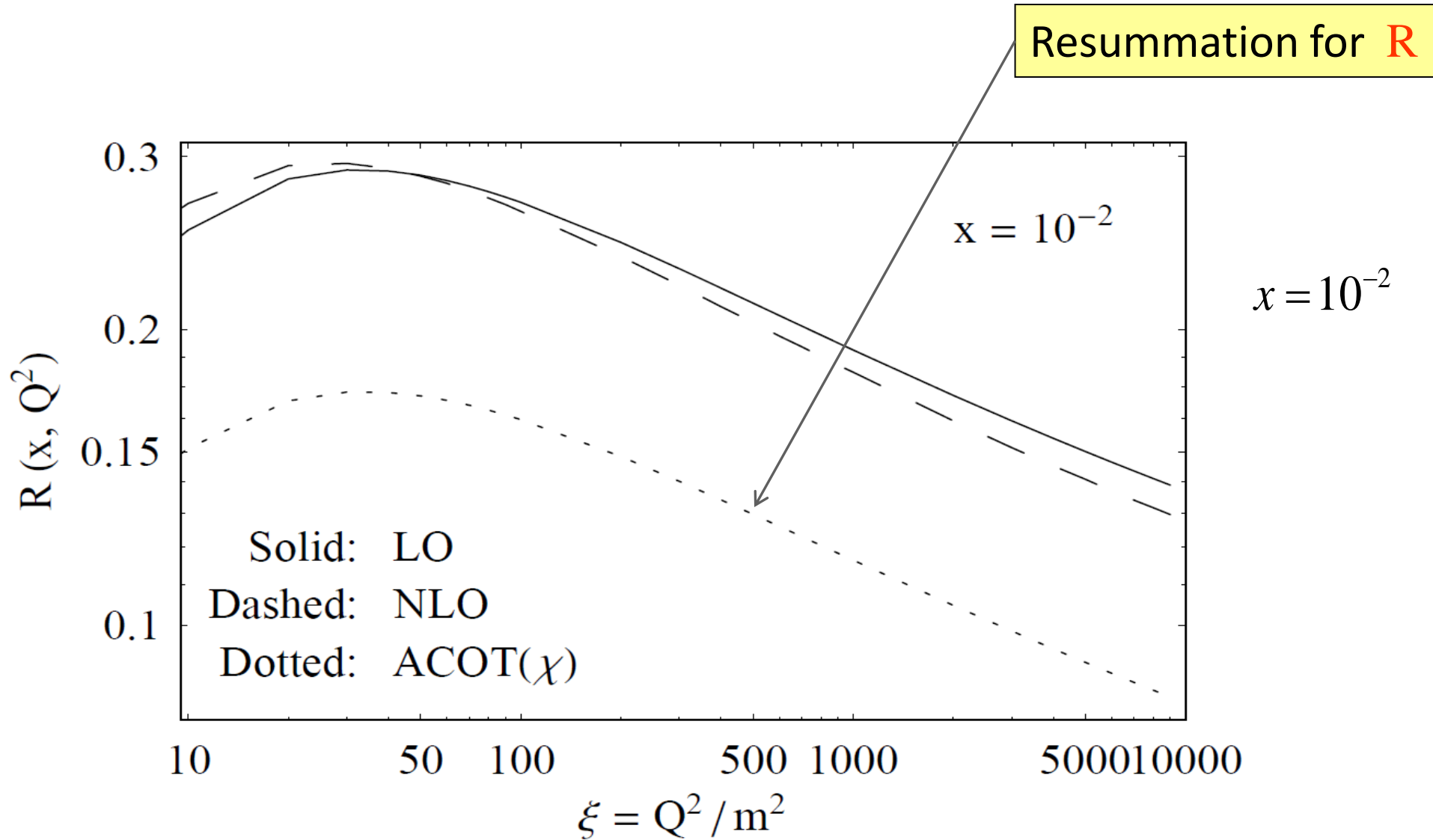
$$\hat{\sigma}_B(z) = \frac{(2\pi)^2 e_Q^2 \alpha_{em}}{Q^2} z$$

pQCD predictions for F_2



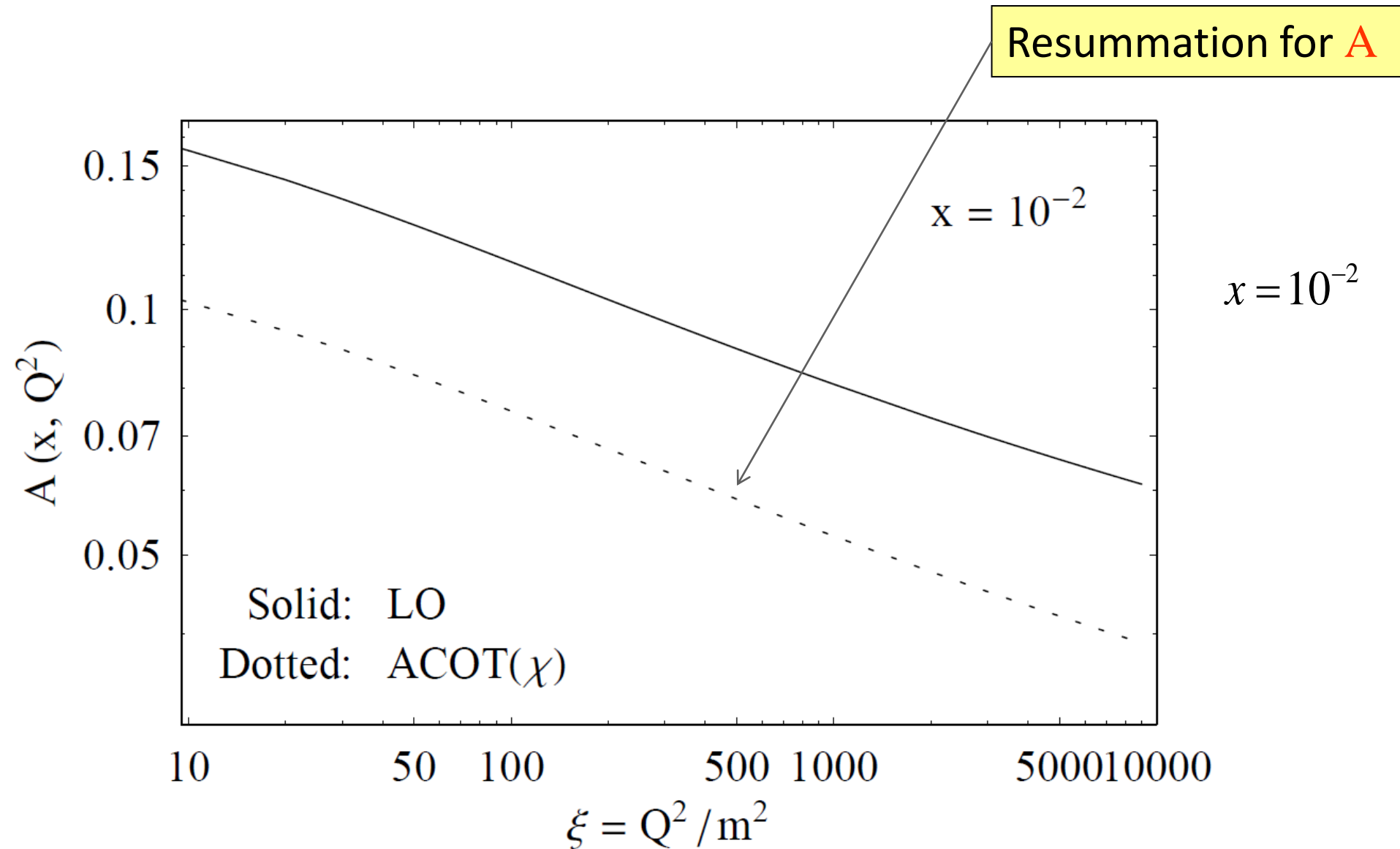
For F_2 the NLO and resummation contributions are very close

pQCD predictions for **R**



CTEQ6M PDFs are used for estimates

pQCD predictions for A



CTEQ6M PDFs are used for estimates

Application II: Linearly polarized gluons in unpolarized proton

To probe the TMD distribution, the momenta of both heavy quark and anti-quark should be measured (reconstructed) in the reaction:

$$l(\ell) + N(p) \rightarrow l'(\ell - q) + Q(p_Q) + \bar{Q}(p_{\bar{Q}}) + X(p_X)$$

Corresponding cross section is:

$$d\sigma \propto L(\ell, q) \otimes \Phi_g(\zeta, k_T) \otimes \left| H_{\gamma^* g \rightarrow Q \bar{Q} X}(q, k_g, p_Q, p_{\bar{Q}}) \right|^2$$

$$\Phi_g^{\mu\nu}(\zeta, k_T) \propto -g_T^{\mu\nu} f_1^g(\zeta, \vec{k}_T^2) + \left(g_T^{\mu\nu} - 2 \frac{k_T^\mu k_T^\nu}{k_T^2} \right) \frac{\vec{k}_T^2}{2m_N^2} h_1^{\perp g}(\zeta, \vec{k}_T^2)$$

$$k_g^\mu \simeq \zeta P^\mu + k_T^\mu, \quad \vec{q}_T = \vec{k}_T$$

The resulting cross section is:

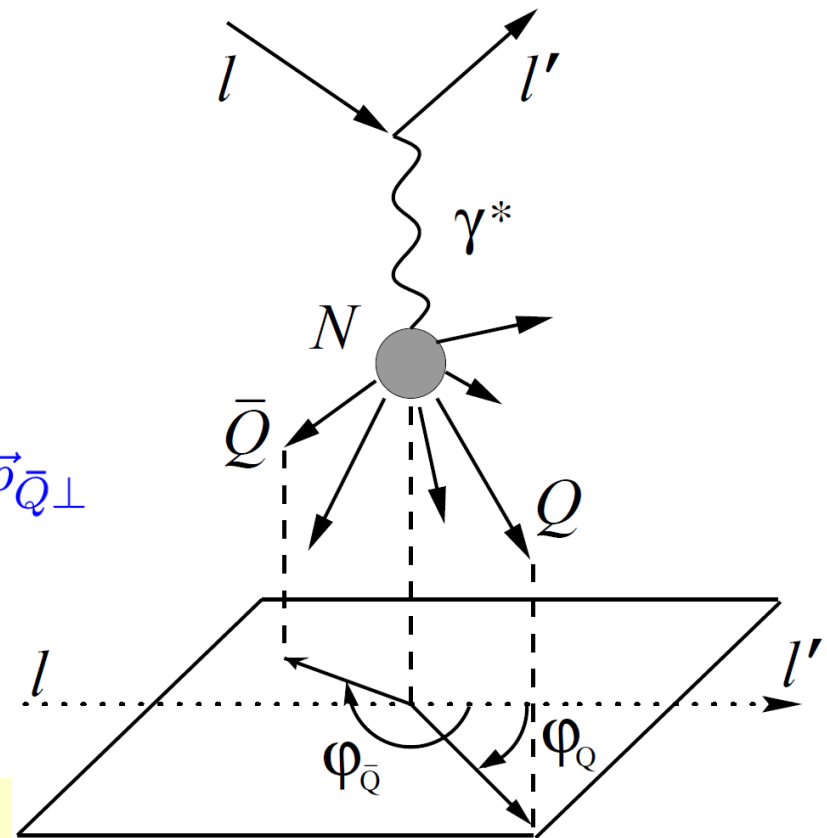
$$\frac{d^6\sigma(\pi)}{dy dx dz d\vec{K}_\perp^2 d\vec{q}_T^2 d\varphi} = \frac{e_Q^2 \alpha_{em}^2 \alpha_s}{8\bar{S}^2} \frac{f_1^g(\zeta, \vec{q}_T^2) \hat{B}_2}{y^3 x \zeta z (1-z)} \left\{ \left[1 + (1-y)^2 \right] \left(1 - 2r \frac{\hat{B}_2^h}{\hat{B}_2} \right) - y^2 \frac{\hat{B}_L}{\hat{B}_2} \left(1 - 2r \frac{\hat{B}_L^h}{\hat{B}_L} \right) \right. \\ \left. + 2(1-y) \frac{\hat{B}_A}{\hat{B}_2} \left(1 - 2r \frac{\hat{B}_A^h}{\hat{B}_A} \right) \cos 2\varphi + (2-y) \sqrt{1-y} \frac{\hat{B}_I}{\hat{B}_2} \left(1 - 2r \frac{\hat{B}_I^h}{\hat{B}_I} \right) \cos \varphi \right\}$$

$$r \equiv r(\zeta, \vec{q}_T^2) = \frac{\vec{q}_T^2}{2m_N^2} \frac{h_1^{\perp g}(\zeta, \vec{q}_T^2)}{f_1(\zeta, \vec{q}_T^2)}$$

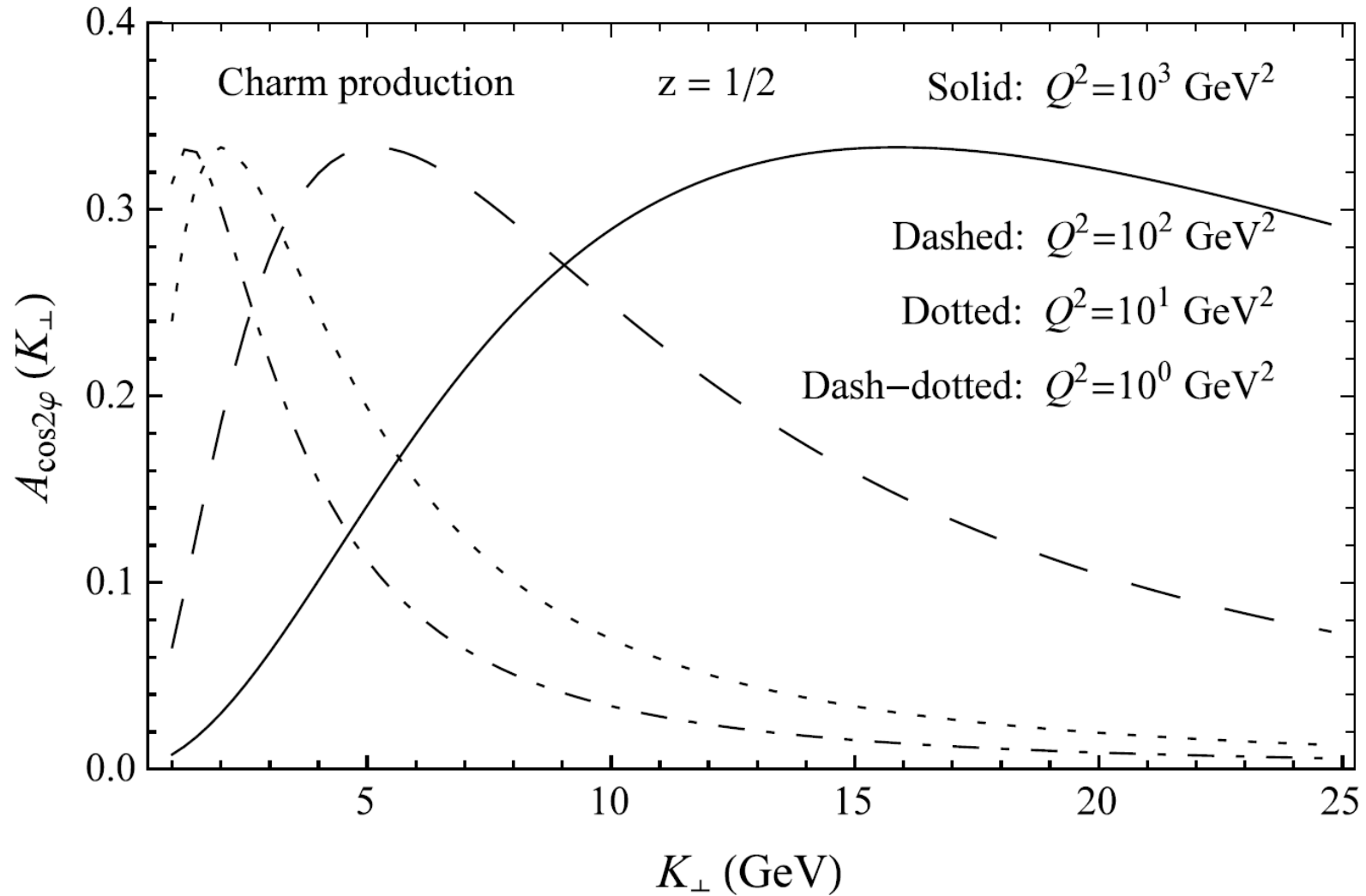
$$\zeta = \frac{-U_1}{y\bar{S} + T_1} = x + \frac{m^2 + \vec{K}_\perp^2}{z(1-z)y\bar{S}}$$

$$\vec{K}_\perp = \frac{1}{2} (\vec{p}_{Q\perp} - \vec{p}_{\bar{Q}\perp}), \quad \vec{q}_T = \vec{p}_{Q\perp} + \vec{p}_{\bar{Q}\perp}$$

$$\varphi = \varphi_Q$$

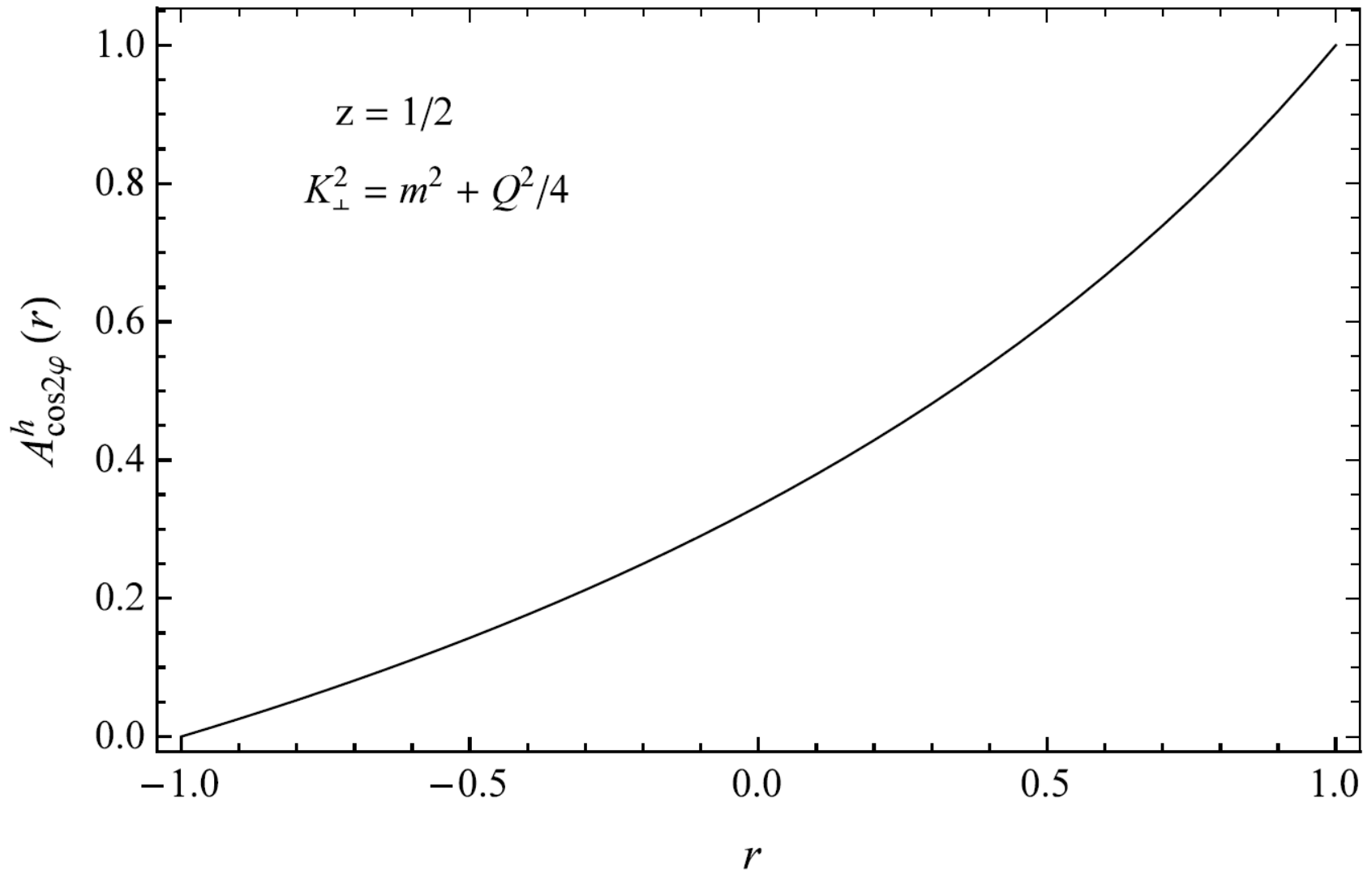


pQCD predictions for $\cos 2\varphi$ asymmetry ($r = 0$)



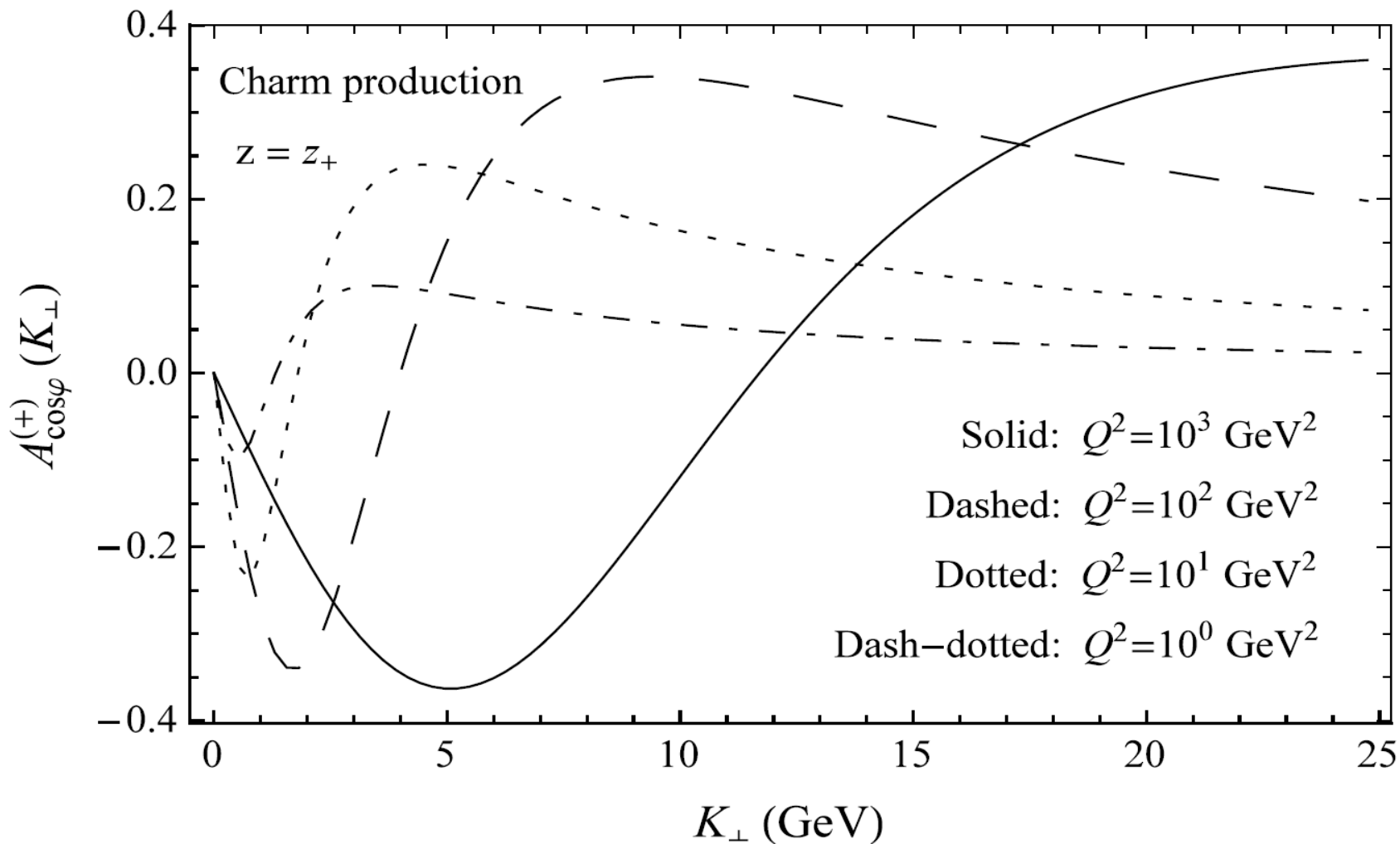
$$A_{\cos 2\varphi} \left(z = 1/2, \vec{K}_{\perp}^2 = m^2 + Q^2/4 \right) = \frac{1}{3}$$

pQCD predictions for $\cos 2\varphi$ asymmetry ($r \neq 0$)



$$A^h_{\cos 2\varphi}(r) \equiv A^h_{\cos 2\varphi}(z = 1/2, \vec{K}_{\perp}^2 = m^2 + Q^2/4, r) = \frac{1+r}{3-r}$$

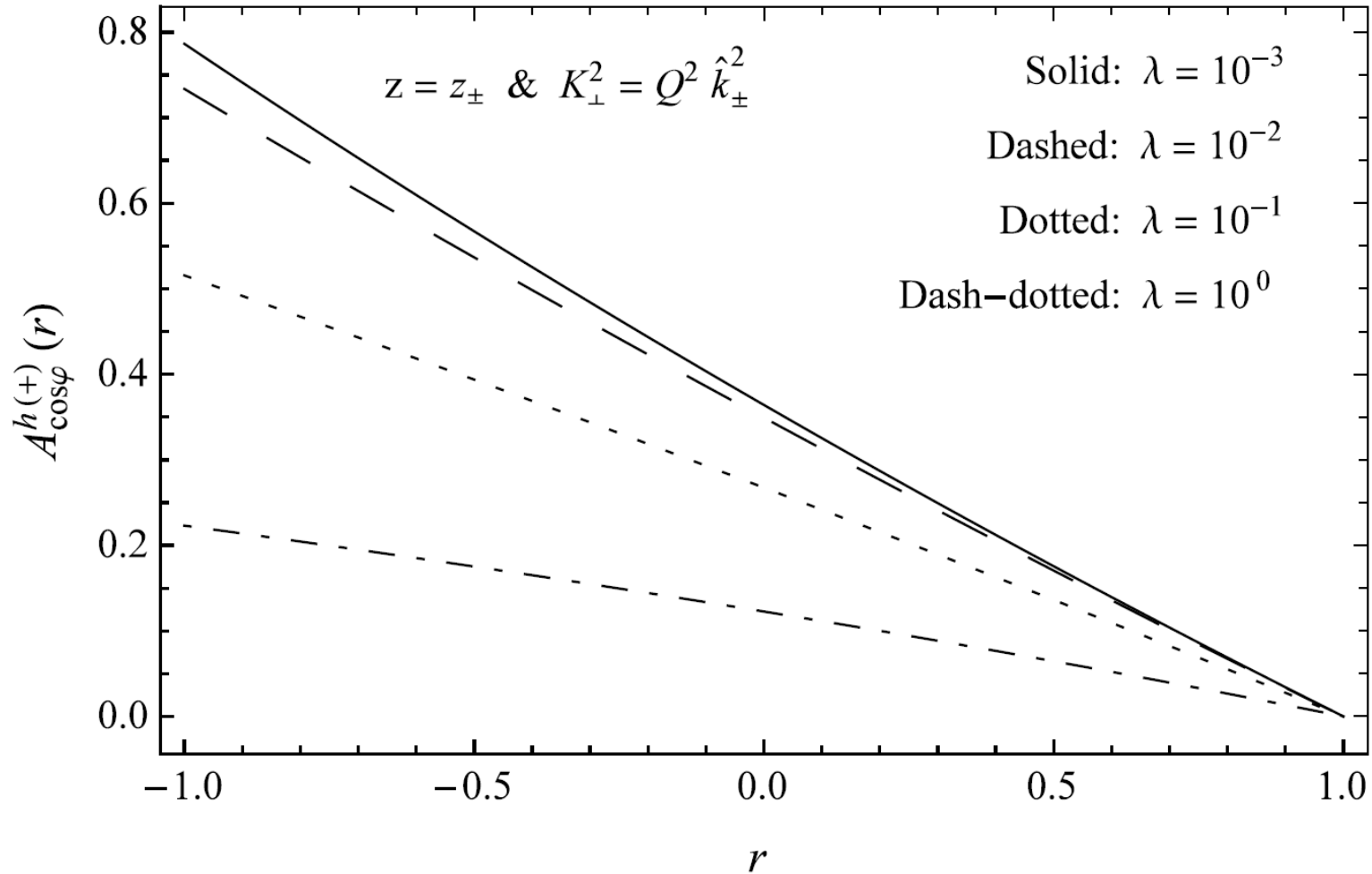
pQCD predictions for **cos φ** asymmetry ($r = 0$)



$$A_{\cos\varphi}^{(\pm)}(K_{\perp}) \equiv A_{\cos\varphi}(z = z_{\pm}, K_{\perp}), \quad A_{\cos\varphi}^{(-)} = -A_{\cos\varphi}^{(+)}, \quad z_{\pm}(\lambda \rightarrow 0) \simeq \begin{cases} 0.841 \\ 0.159 \end{cases}$$

$$|A_{\cos\varphi}^{(\pm)}|_{\max} \simeq 0.366 \quad \lambda = \frac{m^2}{Q^2}$$

pQCD predictions for **cos φ** asymmetry ($r \neq 0$)



$$A_{\cos \varphi}^{h(\pm)}(r) \stackrel{\lambda \rightarrow 0}{\cong} \pm \frac{(\sqrt{3} - 1)(1 - r)}{2 - r(1 - 2/\sqrt{3})}$$

$$|A_{\cos \varphi}^{h(\pm)}|_{\max} \simeq 0.793$$

Azimuthal correlations in charm hadroproduction

To probe the TMD distributions in pp - and AA - collisions, the momenta of both heavy quark and anti-quark should be measured,

$$p_1(P_1) + p_2(P_2) \rightarrow Q(p_Q) + \bar{Q}(p_{\bar{Q}}) + X(p_X)$$

Corresponding cross section is:

$$d\sigma \propto \sum_{a,b} \Phi_a(\zeta_a, k_{aT}) \otimes \Phi_b(\zeta_b, k_{bT}) \otimes \left| H_{ab \rightarrow Q\bar{Q}X}(k_a, k_b, p_Q, p_{\bar{Q}}) \right|^2$$

$$k_a^\mu \simeq \zeta_a P_1^\mu + k_{aT}^\mu, \quad k_b^\mu \simeq \zeta_b P_2^\mu + k_{bT}^\mu$$

In this case, both quark and gluon densities do contribute at LO:

$$\Phi_g^{\mu\nu}(\zeta, k_T) \propto -g_T^{\mu\nu} f_1^g(\zeta, \vec{k}_T^2) + \left(g_T^{\mu\nu} - 2 \frac{k_T^\mu k_T^\nu}{k_T^2} \right) \frac{\vec{k}_T^2}{2m_N^2} h_1^{\perp g}(\zeta, \vec{k}_T^2)$$

$$\Phi_q(\zeta, k_T) \propto f_1^q(\zeta, \vec{k}_T^2) \hat{P} + i h_1^{\perp q}(\zeta, \vec{k}_T^2) \frac{[\hat{k}_T, \hat{P}]}{2m_N}$$

The resulting cross section is:

$$\frac{d^6\sigma}{dy_1 dy_2 d^2\vec{K}_\perp d^2\vec{q}_T} = \mathcal{N} \left\{ A + B \vec{q}_T^2 \cos 2(\phi_\perp - \phi_T) + C \vec{q}_T^4 \cos 4(\phi_\perp - \phi_T) \right\}$$

$$\vec{K}_\perp = \frac{1}{2} (\vec{p}_{Q\perp} - \vec{p}_{\bar{Q}\perp}), \quad \vec{q}_T = \vec{p}_{Q\perp} + \vec{p}_{\bar{Q}\perp}$$

Schematically, the functions **A**, **B** and **C** have the following structure:

$$\begin{aligned} A & : f_1^q \otimes f_1^{\bar{q}}, \quad f_1^g \otimes f_1^g, \quad h_1^{\perp g} \otimes h_1^{\perp g} \\ B & : h_1^{\perp q} \otimes h_1^{\perp \bar{q}}, \quad f_1^g \otimes h_1^{\perp g} \\ C & : h_1^{\perp g} \otimes h_1^{\perp g} \end{aligned}$$

Thank You!